



PATENT APPLICATION

Our Docket No. 20040351.DIE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re App : Carol W. Readhead et al : February 7, 2007  
S.N. : 10/054,365 : Art Unit 1636  
Filed : November 12, 2001 : Examiner Jill Martin  
For : TRANSFECTION, STORAGE AND TRANSFER  
OF MALE GERM CELLS FOR GENERATION  
OF TRANSGENIC SPECIES & GENETIC THERAPIES

SUBMISSION UNDER 37 CFR 1.28(c)

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir or Madam:

This submission is made in order to correct the status of the subject patent. It is believed that the previous status established as a small entity was so established in good faith and the fees paid as a small entity were paid in good faith. It has recently been discovered that an earlier connection with an organization accustomed to paying fees as a large entity has made it necessary to correct the status of the subject patent application.

In accordance with the requirements of 37 CFR 1.28(c)2(inii), an itemization of the deficiency follows:

02/09/2007 SLUANG1 00000014 081265 10054365

01 FC:1001		790.00 OP
02 FC:1202		1400.00 OP
03 FC:1253	887.00 DA	133.00 OP

ITEM	DATE PAID	PRESENT CORRESPONDING			AMOUNT OWED
		AMOUNT ORIGINALLY PAID	LARGE ENTITY AMOUNT		

The deficiency for the filing fee for filing Provisional Serial No. 60/065,825 and 09/191,920 were paid in connection with the change of Status of Patent No. 6,316,692 B1 (Serial No. 09/191,920).

This application is a division of Application 09/191,920.

Utility Filing Fee (S.N. 10/054,365)	Nov. 12, 2001	\$ 370	\$1000	\$ 630
28 Extra Claims	Nov. 12, 2001	252	1400	1148
3-Mo. Ext. Fee	Jan. 05, 2004	475	1020	<u>545</u>

**TOTAL DEFICIENCY** **\$2,323**

A check in the amount of \$2,323.00 is enclosed with this letter to make up the deficiency owed for all of the fees previously paid under the auspices of a small entity.

The Commissioner is hereby authorized to charge any fees listed in 37 CFR 1.16 and 1.17 which may be required by this paper or credit any overpayment to Deposit Account No. 08-1265.

Respectfully submitted,

NIKOLAI & MERSEREAU, P.A.



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Adjustment date: 02/09/2007 SLUANG1  
01/29/2002 WABDELRI 00000020 501597 10054365  
01 FC:201 370.00 CR  
03 FC:203 252.00 CR

Adjustment date: 02/09/2007 SLUANG1  
01/12/2004 JADD01 00000093 501597 10054365  
01 FC:2253 475.00 CR



### CERTIFICATE OF MAILING

I hereby certify that the foregoing Submission Under 37 CFR 1.28(c) and a check in the amount of \$2323.00 in application Serial No. 10/054,365, filed on November 12, 2001, of Carol W. Readhead et al, entitled "TRANSFECTION, STORAGE AND TRANSFER OF MALE GERM CELLS FOR GENERATION OF TRANSGENIC SPECIES & GENETIC THERAPIES" are being deposited with the U.S. Postal Service as First Class mail in an envelope addressed: The Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on February 7, 2007.

Barbara L. Davis  
on behalf of C. G. Mersereau  
Attorney for Applicant

Date of Signature: February 7, 2007